

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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NDV Investment Company, et al.	:	14-cv-0923-RMB
	:	
Petitioners,	:	
	:	RULE 7.1
-against-	:	DISCLOSURE STATEMENT
	:	
Apex Clearing Corporation, et al.	:	
	:	
Respondents.	:	
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Pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel for Defendant Apex Clearing Corporation ("Defendant") certifies that:

1. Defendant is a wholly-owned subsidiary of Apex Clearing Holdings, LLC ("Holdings"); and
2. Holdings has no parent corporation, and no publicly held corporation owns 10% or more of Holdings.

/s/ Bertrand J. Choe

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